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PTO/SB/21 (09-04)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |   |                        |          |
|--|---|------------------------|----------|
| Total Number of Pages in This Submission | 7 | Attorney Docket Number | EH-11078 |
|--|---|------------------------|----------|

### ENCLOSURES (Check all that apply)

|  |  |   |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><b>Second Supplemental Information Disclosure Statement</b><br><br><input checked="" type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/<br>Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Postcard |
| <input type="button" value="Remarks"/><br>Please return postcard   |  |   |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|              |                   |          |        |
|--------------|-------------------|----------|--------|
| Firm Name    | Pratt & Whitney   |          |        |
| Signature    |                   |          |        |
| Printed name | George J. Romanik |          |        |
| Date         | 3/30/2005         | Reg. No. | 34,711 |

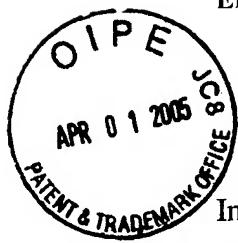
### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

|                       |                 |      |           |
|-----------------------|-----------------|------|-----------|
| Signature             |                 |      |           |
| Typed or printed name | Anna Sardinskas | Date | 3/30/2005 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

David LODA et al.

Serial No.:

10/767,601

Title:

MICROSERVER ENGINE CONTROL CARD

Filing Date:

January 28, 2004

Examiner:

Not Assigned Yet

Art Unit:

Not Assigned Yet

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to Applicant's duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the documents listed on the attached Form PTO/SB/08A are being brought to the attention of the Examiner in charge of the above-referenced Application.

The Examiner is respectfully requested to initial the space adjacent to the listing of each document on Form PTO/SB/08A, and return a copy of the initialed Form PTO/SB/08A with the next communication to Applicant, to confirm that these documents have been considered by the Examiner and made of record in this Application.

The below-referenced information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §§1.104(a) and 1.104(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this Application, and that the references be made of record therein and appear among the "References Cited" on any patent that issues therefrom.

This paper is being submitted in accordance with:

- 37 C.F.R. § 1.97(b):
  - With the application;
  - Within 3 months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d);
  - Within 3 months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application;
  - Before the mailing of a First Office Action on the merits; or
  - Before the mailing of a First Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114.
- 37 C.F.R. § 1.97(c) – after the period specified in 37 C.F.R. § 1.97(b) but before the mailing date of any of a Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in this application, whichever is earlier; and includes:
  - The required statement made below; or
  - The **\$180** fee specified in 37 C.F.R. § 1.17(p) for submission of this IDS;
- 37 C.F.R. § 1.97(d) – after the period specified in 37 C.F.R. § 1.97(c) but on or before payment of the Issue Fee; and includes:
  - The required statement made below; and
  - The **\$180** fee specified in 37 C.F.R. § 1.17(p) for submission of this IDS;
- 37 C.F.R. § 1.97(e) – required statement:
  - Each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart

foreign application not more than three (3) months prior to the filing of this IDS; or

- No item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three (3) months prior to the filing of this IDS.

If the fee of **\$0** is incorrect, please charge any additional fees due, or credit any overpayment, to **Deposit Account No. 21-0279, Order No. EH-11078.**

If the Examiner has any questions or wishes to discuss this Application, the Examiner is kindly encouraged to telephone the undersigned.

Respectfully submitted,

Date: 3/30/2005



George J. Romanik  
USPTO Reg. # 34,711  
Attorney for Applicants

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PTO/SB/08A (08-03)

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## SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

|       |   |    |   |                        |          |
|-------|---|----|---|------------------------|----------|
| Sheet | 1 | of | 3 | Attorney Docket Number | EH-11078 |
|-------|---|----|---|------------------------|----------|

*Complete if Known*

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/767,601       |
| Filing Date          | January 28, 2004 |
| First Named Inventor | LODA et al.      |
| Group Art Unit       | Not Yet Assigned |
| Examiner Name        | Not Yet Assigned |

**U.S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No.1 | Document Number                | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures<br>Appear |
|--------------------|-----------|--------------------------------|--------------------------------|--|--|
|                    |           | Number- Kind Code z (if known) |                                |  |  |
|                    | 1         | US-6,529,620                   | 03-04-2003                     | Thompson   |  |
|                    | 2         | US-2003/0014426                | 01-16-2003                     | Gimbert et al.                                     |  |
|                    | 3         | US-2002/0143443                | 10-03-2002                     | Betters et al.                                     |  |
|                    | 4         | US-2003/0085818                | 05-08-2003                     | Renton et al.                                      |  |
|                    | 5         | US-2003/0009761                | 01-09-2003                     | Miller et al.                                      |  |
|                    | 6         | US-2002/0123915                | 09-05-2002                     | Denning et al.                                     |  |
|                    | 7         | US-6,487,479                   | 11-26-2002                     | Nelson   |  |
|                    | 8         | US-6,522,867                   | 02-18-2003                     | Wright et al.                                      |  |
|                    | 9         | US-6,148,179                   | 11-14-2000                     | Wright et al.                                      |  |
|                    | 10        | US-6,353,734                   | 03-05-2002                     | Wright et al.                                      |  |
|                    | 11        | US-6,112,246                   | 08-29-2000                     | Horbal et al.                                      |  |
|                    | 12        | US-5,828,969                   | 10-27-1998                     | Chamney et al.                                     |  |
|                    | 13        | US-5,838,261                   | 11-17-1998                     | Lauta et al.                                       |  |
|                    | 14        | US-5,884,202                   | 03-16-1999                     | Arjomand   |  |
|                    | 15        | US-5,931,877                   | 08-03-1999                     | Smith et al.                                       |  |
|                    | 16        | US-5,931,878                   | 08-03-1999                     | Chapin et al.                                      |  |
|                    | 17        | US-5,778,381                   | 07-07-1998                     | Sandifer   |  |
|                    | 18        | US-6,108,523                   | 08-22-2000                     | Wright et al.                                      |  |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No.1 | Foreign Patent Document                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns,<br>Lines, Where<br>Relevant Passages<br>or Relevant Figures<br>Appear | T6 |
|--------------------|-----------|--|--------------------------------|--|---|----|
|                    |           | Country Code3 -Number4 - Kind Code s<br>(if known) |                                |  |   |    |
|                    | 1         | WO 01/80494  | 10-25-2001                     | DIGITALNETBANK INC. &<br>AHN, Hyun-Sik             |   |    |
|                    |           |  |                                |  |   |    |
|                    |           |  |                                |  |   |    |
|                    |           |  |                                |  |   |    |

|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

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STATEMENT BY APPLICANT**  
*(use as many sheets as necessary)*

Sheet

2

of

3

**Complete if Known**

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/767,601       |
| Filing Date          | January 28, 2004 |
| First Named Inventor | LODA et al.      |
| Group Art Unit       | Not Yet Assigned |
| Examiner Name        | Not Yet Assigned |

Attorney Docket Number EH-11078

**U.S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No.1 | Document Number                | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures<br>Appear |
|--------------------|-----------|--------------------------------|--------------------------------|--|--|
|                    |           | Number- Kind Code 2 (if known) |                                |  |  |
|                    | 19        | US-6,154,636                   | 11-28-2000                     | Wright et al.                                      |  |
|                    | 20        | US-6,104,914                   | 08-15-2000                     | Wright et al.                                      |  |
|                    | 21        | US-6,154,637                   | 11-28-2000                     | Wright et al.                                      |  |
|                    | 22        | US-6,047,165                   | 04-04-2000                     | Wright et al.                                      |  |
|                    | 23        | US-2002/0178451                | 11-28-2002                     | Ficco  |  |
|                    | 24        | US-2003/0084451                | 05-01-2003                     | Pierzga  |  |
|                    | 25        | US-2002/0018008                | 02-14-2002                     | Wright et al.                                      |  |
|                    | 26        | US-2003/0064714                | 04-03-2003                     | Sanford et al.                                     |  |
|                    | 27        | US-2003/0100978                | 05-29-2003                     | Wright et al.                                      |  |
|                    | 28        | US-2003/0093798                | 05-15-2003                     | Rogerson   |  |
|                    | 29        | US-2002/0087992                | 07-04-2002                     | Bengeult et al.                                    |  |
|                    | 30        | US-2002/0095476                | 07-18-2002                     | Craik  |  |
|                    | 31        | US-2002/0111720                | 08-15-2002                     | Holst et al.                                       |  |
|                    | 32        | US-2002/0160773                | 10-31-2002                     | Gresham et al.                                     |  |
|                    | 33        | US-2001/0036822                | 11-01-2001                     | Mead et al.  |  |
|                    | 34        | US-2002/0168975                | 11-14-2002                     | Gresham et al.                                     |  |
|                    | 35        | US-2002/0118137                | 08-29-2002                     | Halsema et al.                                     |  |
|                    | 36        | US-2002/0059614                | 05-16-2002                     | Lipsanen et al.                                    |  |
|                    | 37        | US-2003/0048471                | 03-13-2003                     | Lundgren   |  |
|                    | 38        | US-2003/0032426                | 02-13-2003                     | Gilbert et al.                                     |  |

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| Examiner Signature | Date Considered |
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| <p>Substitute for form 1449B/PTO</p> <p><b>SECOND SUPPLEMENTAL<br/>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p> |  |          |           | <p><b>Complete if Known</b></p>  |                               |
| <p>Sheet</p>   |  | <p>3</p> | <p>of</p> | <p>3</p>   | <p>Attorney Docket Number</p> |
|  |  |          |           | <p>10/767,601</p> <p>January 28, 2004</p> <p>LODA et al.</p> <p>Not Assigned Yet</p> <p>Not Assigned Yet</p> |                               |

#### **OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

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